

PATENT  
Attorney Docket No. 7451.0005-01000  
InterTrust Ref. No.: IT-7.1 (US)

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Karl L. Ginter, et al. )  
Serial No.: 09/398,665 ) Group Art Unit: 2766  
Filed: September 17, 1999 ) Examiner: Unassigned  
For: TRUSTED INFRASTRUCTURE )  
SUPPORT SYSTEMS, METHODS )  
AND TECHNIQUES FOR SECURE )  
ELECTRONIC COMMERCE, )  
ELECTRONIC TRANSACTIONS, )  
COMMERCE PROCESS CONTROL )  
AND AUTOMATION, DISTRIBUTED )  
COMPUTING, AND RIGHTS )  
MANAGEMENT )

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

OFFICE OF INITIAL PATENT EXAMINATION

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

Enclosed is a copy of an Official Filing Receipt that was received from the U.S. Patent and Trademark Office. The Receipt has been reviewed and an error in the continuing data has been found. Please correct the information to read as follows:

--This application is a continuation of application serial no. 08/699,712, filed August 12, 1996, now abandoned, which is a continuation-in-part of application serial no. 08/388,107, filed February 13, 1995, now abandoned.--

A copy of the Official Filing Receipt with the requested changes in red is attached. Some of the information added in red was not copied correctly from the transmittal letter and some was omitted by the Applicants. In addition, application serial

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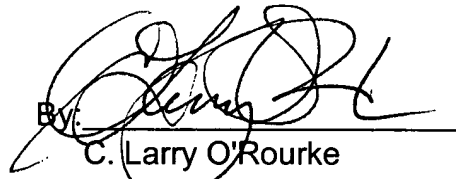
no. 08/699,712, was abandoned after the filing of this application. This Request incorporates that new information.

It is respectfully requested that a corrected Official Filing Receipt be issued as soon as possible. Since the error was at least in part the fault of Applicants, please charge our Deposit Account No. 06-0916 in the amount of \$25.00. If it is determined that any other fees are due in connection with the filing of this Request, please charge such fees to Deposit Account No. 06-0916 as well.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: November 10, 1999

By:   
C. Larry O'Rourke  
Reg. No. 26,014

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## FILING RECEIPT

CPI/2J 1  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/398,665	09/17/99	2766	\$3,784.00	7451.0005-01	98	97	24

FINNEGAN HENDERSON FARABOW GARRETT  
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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) KARL L. GINTER, RESIDENCE NOT PROVIDED.

CONTINUING DATA AS CLAIMED BY APPLICANT- 08/699,712 8/12/96  
THIS APPLN IS A CON OF 08/388,107 02/13/95 ABN  
CIP of

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/27/99

## TITLE

TRUSTED INFRASTRUCTURE SUPPORT SYSTEMS, METHODS AND TECHNIQUES FOR  
SECURE ELECTRONIC COMMERCE, ELECTRONIC TRANSACTIONS, COMMERCE PROCESS  
CONTROL AND AUTOMATION, DISTRIBUTED COMPUTING, AND RIGHTS MANAGEMENT

PRELIMINARY CLASS: 380

RECEIVED

OCT 28 1999

FINNEGAN, HENDERSON, FARABOW,  
GARRETT AND DUNNER, LLP

DATA ENTRY BY: BARNES, CAROL

TEAM: 01 DATE: 10/27/99

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**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE ---- The Following Information about the Filing Receipt:**

The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

The words "new," "improved," "improvement," "improvements in or relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement.

The title may be truncated if it consists of more than 4 lines of 70 characters each (letters and spaces combined).

The inventor information may be truncated if the family name consists of more than 25 characters (letters and spaces combined) and if the given name consists of more than 25 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

The docket number allows a maximum of 12 characters.

If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Customer Address may have been modified to conform to U.S. Postal rules.

Please direct correction, including a copy of your Filing Receipt, to:  
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